

THE STRUCTURES OF SCLERIN AND SCLEROLIDE, METABOLITES  
OF SCLEROTINIA LIBERTIANA (1)

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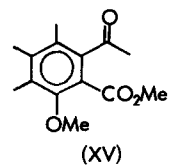
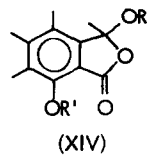
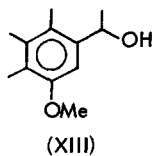
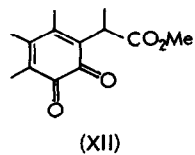
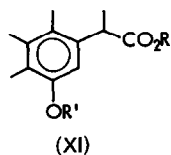
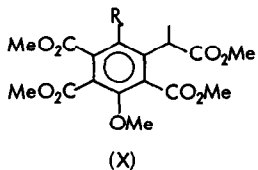
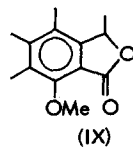
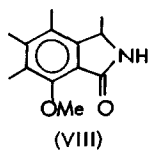
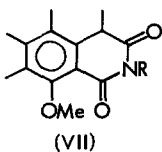
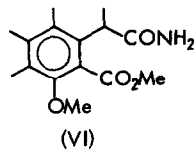
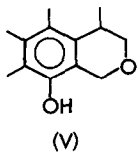
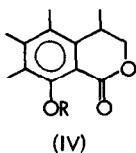
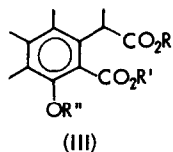
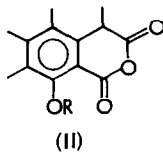
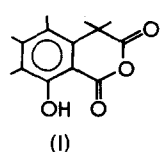
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During the search for the lipase formation stimulating factor in Sclerotinia libertiana, one of the authors (Y.S.) succeeded in isolating the active substance,  $C_{13}H_{14}O_4$  (MS m/e 234), m.p.  $123^\circ$ ,  $[\alpha]_D +7.85^\circ$ , which was designated sclerin (2). Further study on this compound revealed a number of interesting physiological activities — specially the growth promoting effect for various plants. The second minor metabolite,  $C_{12}H_{14}O_4$ , m.p.  $163^\circ$ , was isolated from the mycelium by a larger scale extraction, and the name sclerolide is proposed for it.

The present paper concerns with the structure elucidations of sclerin and sclerolide. Sclerin exhibited the following spectral properties:  $\nu_{\max}^{CHCl_3}$  3260, 1800, 1690, 1610, 1580, 1390, 1345, 1325  $cm^{-1}$ ;  $\lambda_{\max}^{EtOH}$  215.5 ( $\epsilon$  23100), 263 (7900), 333  $m\mu$  (3500);  $\lambda_{\max}^{0.01N KOH-EtOH}$  313 (4200),  $\lambda$  infl. 246  $m\mu$  (6100); n.m.r.  $-0.80$  (1H, s.), 5.86 (1H, q., J=7 cps), 7.83, 7.78, 7.72 (3 methyl singlets), 8.47  $\tau$  (methyl doublet, J=7 cps). It gave a greenish-blue color in ferric chloride test, and a monoacetate (II, R=Ac), m.p.  $156^\circ$ ;  $\nu_{\max}^{CHCl_3}$  1790, 1760, 1735, 1585, 1195  $cm^{-1}$ ;  $\lambda_{\max}^{EtOH}$  216 ( $\epsilon$  25500), 260 (8500), 313  $m\mu$  (2600) upon acetylation, and hence is a monohydric phenol. The hydroxyl group must be in chelation as inferred from the position

of the hydrogen stretching band ( $3260\text{ cm}^{-1}$ ) in the infrared spectrum and hydroxyl proton signal ( $-0.80\tau$ ) in the NMR spectrum. The presence of an anhydride grouping was assumed from the infrared peaks at  $1790$  and  $1735\text{ cm}^{-1}$  in the acetate (II, R=Ac), and  $1785$  and  $1738\text{ cm}^{-1}$  in the methyl ether (II, R=Me) which was derived from sclerin through acid hydrolysis of the methyl ether methyl ester acid (III, R=H, R'=R''=Me), (vide infra) and acetic anhydride treatment of the resultant dicarboxylic acid. In the sclerin molecule, one of the carbonyl groups in the anhydride structure has to be responsible for the chelated ring formation mentioned above. On the other hand the strong absorption in the ultraviolet region indicates the presence of a carbonyl directly attached to the aromatic ring. With these considerations, the rather wide separation of anhydride twin bonds ( $1800$  and  $1690\text{ cm}^{-1}$ ) in the infrared spectrum was easily understandable and the partial structure (I) was derived. This was extended to the completed structure (II, R=H) with the aid of NMR data of sclerin, which indicated the presence of three methyl groups on the aromatic ring and a methyl group linked to a secondary carbon atom. The calculated value for the major electron transfer band (3) of sclerin (II, R=H) was  $255\text{ m}\mu$ , which is in fair agreement with the observed value of  $263\text{ m}\mu$ .

Various transformations of sclerin were reasonably interpretable in terms of the structure (II, R=H) and thus validate the conclusion. Reduction of sclerin with an excess of sodium borohydride in ethanol solution at room temperature yielded a lactone (IV, R=H), m.p.  $96^\circ$ ;  $\nu_{\text{max}}^{\text{Nujol}}$   $3130, 1665, 1610, 1570\text{ cm}^{-1}$ ;  $\lambda_{\text{max}}^{\text{EtOH}}$   $217$  ( $\epsilon$   $27700$ ),  $260$  ( $10100$ ),  $330\text{ m}\mu$  ( $3900$ ); n.m.r.  $-1.49$  (s., chelated -OH),  $5.57$  (m.,  $-\dot{\text{C}}\text{HCH}_2\text{OCO}-$ ),  $6.86$  (m.,  $-\dot{\text{C}}\text{HCH}_2-$ ),  $7.76, 7.81, 7.85$  (each s., 3 ArCH<sub>3</sub>),  $8.71\tau$  (d.,  $J=7.5$  cps,  $-\dot{\text{C}}\text{HCH}_3$ ), which was accompanied by a cyclic ether V, m.p.  $134^\circ$ ;  $\nu_{\text{max}}^{\text{Nujol}}$   $3400, 1610, 1585\text{ cm}^{-1}$ ;  $\lambda_{\text{max}}^{\text{EtOH}}$   $212$  ( $\epsilon$   $13100$ ),  $280\text{ m}\mu$  ( $700$ ); n.m.r.  $5.26$  (s.,



-OH), 4.99, 5.38 (AB quartet,  $J=16$  cps,  $\text{ArCH}_2\text{O}$ -), 6.14 (m.,  $-\text{CHCH}_2\text{O}$ -), 7.12 (m.,  $-\text{CHCH}_2\text{O}$ -), 7.84 (s., 3  $\text{ArCH}_3$ ), 8.71  $\tau$  (d.,  $J=7$  cps,  $\text{CH}_3\text{CH}$ -) on treatment at an elevated temperature ( $45^\circ$ ) (4). The lactone (IV,  $\text{R}=\text{H}$ ) gave a methyl ether (IV,  $\text{R}=\text{Me}$ ), m.p.  $134^\circ$ ;  $\nu_{\text{max}}^{\text{Nujol}}$   $1710\text{ cm}^{-1}$ ,  $\lambda_{\text{max}}^{\text{EtOH}}$  213 ( $\epsilon$  36000), 253 (9100), 305  $\mu$  (2400). Methylation of sclerin with dimethyl sulfate and potassium carbonate in acetone solution gave an oily methyl ether dimethyl ester (III,  $\text{R}=\text{R}'=\text{R}''=\text{Me}$ ),  $\nu_{\text{max}}^{\text{CHCl}_3}$   $1725\text{ cm}^{-1}$  which, on hydrolysis with dilute alkali, furnished a methyl ether methyl ester acid (III,

$R=H, R'=R''=Me$ ), m.p.  $94^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  1725  $\text{cm}^{-1}$ ;  $\nu_{\max}^{\text{CHCl}_3-\text{Et}_3\text{N}}$  1720, 1590  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  211 ( $\epsilon$  19400), 280  $\text{m}\mu$  (1100). The half ester (III,  $R=H, R'=R''=Me$ ) was converted to an amide (VI), m.p.  $113^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  3400, 3440, 3300, 3220, 1735, 1720, 1690  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  212.5 ( $\epsilon$  19900), 283  $\text{m}\mu$  (2100), which, on acid treatment, yielded lactim (VII,  $R=H$ ), m.p.  $229^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  3180, 3060, 1700, 1660, 1615  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  210 ( $\epsilon$  25300), 270 (8900), 339 (3600),  $\lambda$  infl. 224  $\text{m}\mu$  (13100). Curtius degradation of III ( $R=H, R'=R''=Me$ ) gave a five-membered lactam VIII, m.p.  $210^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  3190, 3060, 1690  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  213 ( $\epsilon$  30800), 246 (7900), 294 (2300),  $\lambda$  infl. 240  $\text{m}\mu$  (7400); n.m.r. 2.47 (s., =NH), 5.35 (q., J=7 cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ) 6.05 (s.,  $-\text{OCH}_3$ ), 7.75 (3  $\text{ArCH}_3$ ), 8.53  $\tau$  (d., J=7 cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ); reaction of III ( $R=H, R'=R''=Me$ ) with lead tetracetate in glacial acetic acid followed by alkaline hydrolysis afforded a five-membered lactone IX, m.p.  $90^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  1745  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  213 ( $\epsilon$  35700), 248 (10200), 298  $\text{m}\mu$  (3100); n.m.r. 4.54 (q., J=7 cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ), 6.02 (s.,  $-\text{OCH}_3$ ), 7.76 (s.,  $\text{ArCH}_3$ ), 7.80 (s.,  $\text{ArCH}_3$ ), 8.40  $\tau$  (d., J=7 cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ). Upon oxidation with alkaline potassium permanganate at  $80^{\circ}$ , the half ester (III,  $R=H, R'=R''=Me$ ) furnished, after methylation with ethereal diazomethane, a tetracarboxylic acid methyl ester (X,  $R=\text{CH}_3$ ); m.p.  $88^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  1720, 1580  $\text{cm}^{-1}$ ; n.m.r. 5.50 (s.,  $-\text{OCH}_3$ ), 5.60 (s., 2  $-\text{CO}_2\text{CH}_3$ ), 5.87 (s.,  $-\text{CO}_2\text{CH}_3$ ), 7.39 (s.,  $\text{ArCH}_3$ ), 8.32  $\tau$  (d., J=7 cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ) and a pentacarboxylic acid methyl ester (X,  $R=\text{CO}_2\text{CH}_3$ ), m.p.  $92.5^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  1730, 1580, 1565  $\text{cm}^{-1}$ , leaving the 1-carboxyethyl side chain intact. The most hindered ring-methyl presumably remained unattacked in the former. When sclerin was heated with 40% aq. potassium hydroxide solution in a sealed tube at  $180^{\circ}$ , decarboxylation occurred to yield a nor-acid (XI,  $R=R'=H$ ), m.p.  $126^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  3700, 3300, 2450, 1705, 1600, 860  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  208.5 ( $\epsilon$  24200), 290 (2400),  $\lambda$  infl. 222 (9400), 284  $\text{m}\mu$  (2300); methyl ether methyl ester (XI,  $R=R'=Me$ ), m.p.  $42.5^{\circ}$ ;  $\nu_{\max}^{\text{Nujol}}$  1735  $\text{cm}^{-1}$ ; n.m.r. 3.52 (s., Ar-H), 6.12 (q., J=7 cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ),

6.26, 6.42 (each s.,  $-\text{OCH}_3$  and  $-\text{CO}_2\text{CH}_3$ ), 7.83 (s., 2  $\text{ArCH}_3$ ), 7.91 (s.,  $\text{ArCH}_3$ ), 8.61  $\tau$  (d.,  $J=7$  cps,  $-\overset{|}{\text{C}}\text{HCH}_3$ ). Oxidation of the nor-acid (XI,  $\text{R}=\text{R}'=\text{H}$ ) with potassium nitrosodisulphonate yielded an o-quinone (XII),  $\nu_{\text{max}}^{\text{Nujol}}$  3200, 2400, 1700, 1660, 1640, 1570  $\text{cm}^{-1}$ ,  $\lambda_{\text{max}}^{\text{EtOH}}$  440 ( $\epsilon$  1600),  $\lambda$  infl. 263  $\text{m}\mu$  (2900), the physical data of which showed close resemblance to those of tetramethyl-o-benzoquinone,  $\nu_{\text{max}}^{\text{Nujol}}$  1660, 1623, 1350  $\text{cm}^{-1}$ ;  $\lambda_{\text{max}}^{\text{EtOH}}$  447  $\text{m}\mu$  ( $\epsilon$  1140) (5). The methyl ether acid (XI,  $\text{R}=\text{H}$ ,  $\text{R}'=\text{Me}$ ), obtainable from XI ( $\text{R}=\text{R}'=\text{Me}$ ) was degraded, through lead tetracetate oxidation and subsequent hydrolysis, to an alcohol (XIII), m.p.  $62^\circ$ ;  $\nu_{\text{max}}^{\text{Nujol}}$  3840, 3460, 1600  $\text{cm}^{-1}$ , which was identical with a synthetic specimen (IR). Eventually the nor-acid (XI,  $\text{R}=\text{R}'=\text{H}$ ) was also synthesized by way of XIII and the identity with the compound derived from sclerin was confirmed (IR). The substitution pattern on the benzene nucleus is thus fully secured and the structure assignment of sclerin as II ( $\text{R}=\text{H}$ ) is proved conclusively.

The second metabolite, sclerolide (XIV,  $\text{R}=\text{R}'=\text{H}$ ), m.p.  $163^\circ$ ;  $\nu_{\text{max}}^{\text{Nujol}}$  3420, 1720  $\text{cm}^{-1}$ ;  $\lambda_{\text{max}}^{\text{EtOH}}$  213 ( $\epsilon$  16300), 250 (7700), 313  $\text{m}\mu$  (4300); n.m.r. 2.31 (s.,  $-\text{OH}$ ), 7.69, 7.76, 7.82 (each s., 3  $\text{ArCH}_3$ ), 8.11  $\tau$  (s.,  $\text{>CCH}_3$ ) lacks a carbon atom and has an additional hydroxyl group as compared to sclerin. Thus it gave a blue color in ferric chloride test and yielded a diacetate (XIV,  $\text{R}=\text{R}'=\text{Ac}$ ), m.p.  $163^\circ$ ;  $\nu_{\text{max}}^{\text{Nujol}}$  1780, 1760, 1605  $\text{cm}^{-1}$ ;  $\lambda_{\text{max}}^{\text{EtOH}}$  211.5 ( $\epsilon$  47000), 250.0 (11000), 296 (2600),  $\lambda$  infl. 290  $\text{m}\mu$  (2500); n.m.r. 7.60 (s.,  $\text{ArOCOCH}_3$ ), 7.72, 7.74, 7.85 (each s.,  $\text{ArCH}_3$ ), 8.01 (s.  $\text{ROCOCH}_3$ ), 8.09  $\tau$  (s.,  $\text{>CCH}_3$ ). The position of carbonyl stretching bands of sclerolide (XIV,  $\text{R}=\text{R}'=\text{H}$ ) and its diacetate (XIV,  $\text{R}=\text{R}'=\text{Ac}$ ) in the infrared spectra (1720 and 1780  $\text{cm}^{-1}$  respectively) indicated the presence of five-membered lactonic functions in these compounds and that the lactone carbonyl in the former was intramolecularly hydrogen-bonded. There is a close resemblance in the ultraviolet spectrum of sclerolide to that

of sclerin, which suggests the existence of similar chromophores in both compounds. On the other hand the only marked difference in their NMR spectra is that sclerolide exhibits a singlet, due to the methyl group linked to tertiary carbon which in turn bears a strongly negative substituent, in place of  $A_3X$  pattern signals in the case of sclerin. These considerations lead to expression XIV ( $R=R'=H$ ) as the only plausible structure for sclerolide. Methylation of sclerolide with ethereal diazomethane furnished a dimethyl ether methyl ester (XV,  $R=R'=Me$ ), m.p.  $57^\circ$ ;  $\nu_{\max}^{\text{Nujol}}$  1720, 1695  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  213 ( $\epsilon$  19800), 291 (1700),  $\lambda$  inf. 245  $\mu$  (6300); n.m.r. 6.16 (s.,  $-OCH_3$ ), 6.26 (s.,  $-CO_2CH_3$ ), 7.52 (s.,  $ArCOCH_3$ ), 7.79, 7.77, 7.84  $\tau$  (each s., 3  $ArCH_3$ ) in which the presence of a nuclear acetyl group is revealed from the spectral evidences cited. Upon alkaline hydrolysis and subsequent acidification it yielded an alcohol (XIV,  $R=H$ ,  $R'=Me$ ), m.p.  $144^\circ$ ;  $\nu_{\max}^{\text{Nujol}}$  3370, 1750  $\text{cm}^{-1}$ ;  $\lambda_{\max}^{\text{EtOH}}$  213 ( $\epsilon$  34600), 250 (9500), 300  $\mu$  (2700); n.m.r. 5.27 (s.,  $-OH$ ), 6.14 (s.,  $-OCH_3$ ), 7.63, 7.68, 7.84 (each s., 3  $ArCH_3$ ), 8.16  $\tau$  (s.,  $\geq C-CH_3$ ). These transformations provide strong support for the presence of the lactol grouping in sclerolide. Final proof for the structure XIV ( $R=R'=H$ ) comes from a chemical correlation with sclerin. The treatment of sclerolide (XIV,  $R=R'=H$ ) with sodium amalgam at room temperature afforded crystals of m.p.  $103^\circ$ , which was identified as IX through the comparison of infrared spectra.

## REFERENCES

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